

Audit Actions Status - based on Management reports - as at 20/11/2014				Open Red & Amber			Open Red & Amber Actions			Revised target date compared to original date (for live reds / ambers)				Planned Implementation date			
Department	Audit Review	Main Report Finalised	Assurance level	R	A	Comments	On target to Orig Date	Revised Dates agreed	Revised to be agreed	1 to 3 mths	4 to 6 mths	7 to 12 mths	12 mths plus	<3 mths	3 - 6 mths	> 6 mths	
Open Spaces	Chingford Golf Course	Aug-10	Amber	0	1	The outstanding amber priority recommendation relates to the arrangements for management of the Golf Course, not tendered for some years. It was determined following discussion with City Procurement that re-tendering would not be advisable and as such it has been agreed that a recovery plan will be instigated and a performance based contract introduced with the current contractor . Once an appointment of the Visitor Services Manager (recruitment exercise in progress) can be achieved, it will be a high priority for a new performance contract to be completed, together with a full recovery plan.		1				1				1	
Markets and Consumer Protection	Markets Car Parks	Apr-12	Green	0	1	One amber priority recommendation is outstanding in respect of addressing the poor quality of management information available from the car park barrier system at Smithfield. The barrier equipment replacement is included in the procurement of the off-street car park management contract. It is understood that procurement is in progress and the new contact start date will be 1 April, 2015. The Contract Award is to be announced by the end of November 2014. Replacement equipment will take place within 12 months of the new contract commencing. APCOA contract has been extended accordingly.		1				1				1	
Community and Children's Services	Affordable Housing	Sep-12	Amber	0	1	One amber priority recommendation is outstanding in respect of inclusion of the on-going revenue cost of additional housing units, plus estimates for rental income, within the 30-year Housing Business Plan. Confirmation is awaited of the current position regarding implementation.			1				1				
Barbican	ICT	Jul-13	Amber	0	2	Two amber recommendations involving Disaster Recovery (DR) were expected to be completed in full by November 2014 but have been only partially implemented. During the November DR test the need for additional hardware was identified. Without the additional hardware the test was expected to have a detrimental effect on the current hardware configuration and potentially impacting on IT operational availability. This additional hardware solution is to be fully reviewed by the Barbican IT and audit will be kept informed of the progress and the rescheduled DR test date. The Head of Audit and Risk Management has been informed of the circumstances and agreed to the revision of the timescale. Although complete loss of the computer was not possible, some elements of the DR were successfully tested and brought online such as: email, routing, system access, files server access and internet access.			2								
Community and Children's Services	Holloway Estate Investigation	May-14	N/A		1	This amber priority recommendation relates to the lack of CCTV at the Holloway Estate Office, which has hampered identification of the individual responsible for the suspected theft of a Blackberry and cash from the site safe. We were originally advised that the installation of CCTV was to form part of the capital programme of works for 2014/15. We have since been notified that this has been made part of a project to install and upgrade CCTV on a number of estates with a view to obtaining better value by packaging the work into one contract. As a result the work has been rescheduled and as per the Asset Management Plan it will now be carried out in 2015/16.		1				1				1	
Open Spaces	Cemetery & Crematorium ICT Review	Jun-14	Amber	0	3	There are three live amber recommendations at the time of writing: 1) The need to investigate and address areas of poor performance within the Epilog system, especially the cremation desk diary and the burial bookings functions. This is understood to have been partially implemented, although the reason for poor performance is being re-appraised, which will impact on the planned action to address this; 2) the drafting of a BIA document for the IT systems, consulting with the Town Clerk's Security and Contingency Planning Group for assistance where necessary. This is understood to be on target for implementation by the target date of 28/11/14; and 3) Updating and reviewing the BCP (preferably on an annual basis as a minimum) to ensure its currency, and carrying out a BCP exercise in earnest to ensure procedures, contact information and facilities are present and the Business Continuity Plan operates adequately. This is understood to be on target for completion by the due date of 28/11/14.	2		1					2			
Town Clerk's	Public Relations Office	Jul-14	Green	0	1	This amber priority recommendation relates to the progression of discussions between the PRO and City Procurement regarding print services, continuing to consult them in instances where the value of the expenditure is expected to exceed the thresholds where competitive quotes or tender are required. We are advised by the Chairman of the Marketing and PR Procurement Category Board, which includes printing in its terms of reference, that a major revamp of the whole procurement of printing is being planned. Confirmation is awaited of the current position.	1									1	
Total				0	10		3	3	4	0	0	0	1	3	2	0	4